

General Assembly

January Session, 2003

Committee Bill No. 6171

LCO No. 3593

Referred to Committee on Planning and Development

Introduced by: (PD)

AN ACT CONCERNING FLOODPLAIN MANAGEMENT AND HAZARD MITIGATION.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective October 1, 2003) (a) As used in this
- 2 section, "floodplain" means that area of a municipality located within
- 3 the real or theoretical limits of the base flood or base flood for a critical
- 4 activity, as determined by the municipality or the Federal Emergency
- 5 Management Agency in its flood insurance study or flood insurance
- 6 rate map for the municipality prepared pursuant to the National Flood
- 7 Insurance Program, 44 CFR Part 59 et seq.
- 8 (b) Whenever a municipality, pursuant to the National Flood
- 9 Insurance Program, 44 CFR Part 59 et seq., is required to revise its
- 10 zoning regulations or any other ordinances regulating a proposed
- 11 building, structure, development or use located in a floodplain, the
- 12 revision shall provide for restrictions for flood storage and conveyance
- of water for floodplains that are not tidally influenced as follows:
- 14 (1) Within a designated floodplain, encroachments resulting from
- 15 fill, new construction or substantial improvements, as defined in 44

- 16 CFR Part 59.1, involving an increase in footprint to the structure shall
- 17 be prohibited unless the applicant provides to the zoning commission
- 18 certification by a registered professional engineer that such
- 19 encroachment shall not result in any increase in base flood elevation;
- 20 (2) The water holding capacity of the floodplain shall not be reduced 21 by any form of development unless such reduction (A) is compensated 22 for by deepening or widening the floodplain, (B) is on-site, unless 23 adjacent property owners grant easements or the municipality in 24 which the development is located authorizes off-site reduction, (C) is 25 within the same hydraulic reach and a volume not previously used for 26 flood storage, (D) is hydraulically comparable and incrementally equal 27 to the theoretical volume of flood water at each elevation, up to and 28 including the hundred year flood elevation, which would be displaced 29 by the proposed project, and (E) has an unrestricted hydraulic 30 connection to the same waterway or water body; and
 - (3) Work within adjacent land subject to flooding, including work to provide compensatory storage, shall not restrict flows resulting in increased flood stage or velocity. Any compensatory storage may be provided off-site if authorized by the municipality.
 - (c) Notwithstanding the provisions of subsection (b) of this section, a municipality may adopt more stringent restrictions for flood storage and conveyance of water for floodplains that are not tidally influenced.
- Sec. 2. Section 16a-27 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2003*):
 - (a) The secretary, after consultation with all appropriate state, regional and local agencies and other appropriate persons shall prior to March 1, 2003, complete a revision of the existing plan and enlarge it to include, but not be limited to, policies relating to transportation, energy and air. Any revision made after May 15, 1991, shall identify the major transportation proposals, including proposals for mass transit, contained in the master transportation plan prepared pursuant

32

33

34

35

36

37

40

41

42

43

44

45

- 47 to section 13b-15. Any revision made after July 1, 1995, shall take into 48 consideration the conservation and development of greenways that 49 have been designated by municipalities and shall recommend that 50 state agencies coordinate their efforts to support the development of a 51 state-wide greenways system. The Commissioner of Environmental 52 Protection shall identify state-owned land for inclusion in the plan as 53 potential components of a state greenways system. Any revision made 54 after March 1, 2005, shall (1) take into consideration risks associated 55 with natural hazards, including, but not limited to, flooding, high 56 winds and wildfires; (2) identify the potential impacts of natural 57 hazards on infrastructure and property; and (3) make 58 recommendations for the siting of future infrastructure and property 59 development to minimize the use of areas prone to natural hazards, including, but not limited to, flooding, high winds and wildfires. 60
 - (b) Thereafter on or before March first in each revision year the secretary shall complete a revision of the plan of conservation and development.
- Sec. 3. Subdivision (4) of subsection (a) of section 7-536 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2003*):
 - (4) "Local capital improvement project" means a municipal capital expenditure project for any of the following purposes: (A) Road construction, renovation, repair or resurfacing, (B) sidewalk and pavement improvements, (C) construction, renovation, enlargement or repair of sewage treatment plants and sanitary or storm, water or sewer lines, including separation of lines, (D) public building construction other than schools, including renovation, repair, code compliance, energy conservation and fire safety projects, (E) construction, renovation, enlargement or repair of dams, bridges and flood control projects, (F) construction, renovation, enlargement or repair of water treatment or filtration plants and water mains, (G) construction, renovation or enlargement of solid waste facilities, (H)

62

63

67

68

69

70

71

72

73

74

75

76

77

79 improvements to public parks, (I) the preparation and revision of local 80 capital improvement plans projected for a period of not less than five 81 years and so prepared as to show the general description, need and 82 estimated cost of each individual capital improvement, 83 improvements to emergency communications systems, (K) public 84 housing projects, including renovations and improvements and energy 85 conservation and the development of additional housing, (L) 86 renovations to or construction of veterans' memorial monuments, (M) 87 thermal imaging systems, (N) bulky waste and landfill projects, (O) the 88 preparation and revision of municipal plans of conservation and 89 development adopted pursuant to section 8-23, provided such plans 90 are endorsed by the legislative body of the municipality not more than 91 one hundred eighty days after adoption by the commission, [and] (P) 92 acquisition of automatic external defibrillators, and (Q) floodplain 93 management and hazard mitigation activities. "Local capital 94 improvement project" means only capital expenditures and includes 95 repairs incident to reconstruction and renovation but does not include 96 ordinary repairs and maintenance of an ongoing nature.

- 97 Sec. 4. (NEW) (Effective October 1, 2003) The Commissioner of 98 Environmental Protection shall develop guidelines to be used by 99 municipalities in revising ordinances restricting flood storage and 100 conveyance of water for floodplains that are not tidally influenced. 101 Such guidelines shall include, but not be limited to, a model ordinance 102 that may be used by municipalities to comply with the provisions of 103 section 1 of this act. The commissioner shall make the guidelines 104 available to the public.
- Sec. 5. Subsection (d) of section 20-327b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1*, 2003):
 - (d) (1) The Commissioner of Consumer Protection, shall, by regulations adopted in accordance with the provisions of chapter 54, prescribe the form of the written residential disclosure report required

108

109

- 111 by this section and sections 20-327c to 20-327e, inclusive. The 112 regulations shall provide that the form include information concerning 113 municipal assessments, including, but not limited to, sewer or water 114 charges applicable to the property. Such information shall include: (i) 115 Whether such assessment is in effect and the amount of the 116 assessment; (ii) whether there is an assessment on the property that 117 has not been paid, and if so, the amount of the unpaid assessment; and 118 (iii) to the extent of the seller's knowledge, whether there is reason to 119 believe that the municipality may impose an assessment in the future.
- 120 (2) Such form of the written residential disclosure report shall contain the following:
- 122 (A) A certification by the seller in the following form:
- "To the extent of the seller's knowledge as a property owner, the seller acknowledges that the information contained above is true and accurate for those areas of the property listed. In the event a real estate broker or salesperson is utilized, the seller authorizes the brokers or salespersons to provide the above information to prospective buyers, selling agents or buyers' agents.
- T1 (Date) (Seller)
 T2 (Date) (Seller)"
 - 129 (B) A certification by the buyer in the following form:
 - "The buyer is urged to carefully inspect the property and, if desired, to have the property inspected by an expert. The buyer understands that there are areas of the property for which the seller has no knowledge and that this disclosure statement does not encompass those areas. The buyer also acknowledges that the buyer has read and received a signed copy of this statement from the seller or seller's agent.

```
.... (Date)
                                                                                      .... (Seller)
T3
           .... (Date)
                                                                                     .... (Seller)"
T4
```

- 137 (C) A statement concerning the responsibility of real estate brokers 138 in the following form:
- 139 "This report in no way relieves a real estate broker of the broker's 140 obligation under the provisions of section 20-328-5a of the Regulations 141 of Connecticut State Agencies to disclose any material facts. Failure to 142 do so could result in punitive action taken against the broker, such as 143 fines, suspension or revocation of license."
- 144 (D) A statement that any representations made by the seller on the 145 written residential disclosure report shall not constitute a warranty to 146 the buyer.
- 147 (E) A statement that the written residential disclosure report is not a 148 substitute for inspections, tests and other methods of determining the 149 physical condition of property.
- 150 (F) Information concerning environmental matters such as lead, 151 radon, subsurface sewage disposal, flood hazards and such other 152 topics as the Commissioner of Consumer Protection may determine 153 would be of interest to a buyer.
- 154 (G) A statement that information concerning the residence address 155 of a person convicted of a crime may be available from law enforcement agencies or the Department of Public Safety and that the 157 Department of Public Safety maintains a site on the Internet listing information about the residence address of persons required to register 159 under section 54-251, 54-252, 54-253 or 54-254, who have so registered.
- 160 Sec. 6. Section 22a-27j of the general statutes is repealed and the 161 following is substituted in lieu thereof (*Effective July 1, 2003*):

(a) Any person, firm or corporation, other than a municipality, making an application for any approval required by chapters 124, 126, 440 and 444 shall pay a fee of ten dollars, in addition to any other fee which may be required, to the municipal agency or legislative body which is authorized to approve the application. On and after July 1, 2003, the fee shall be twenty dollars. Such municipal agency or legislative body shall collect such fees, retaining [one dollar] two dollars of such fee for administrative costs, and shall pay the remainder of such fees quarterly to the Department of Environmental Protection and the receipts shall be deposited into an account of the State Treasurer and credited to the Environmental Quality Fund established pursuant to section 22a-27g. The portion of such fund attributable to the fees established by this section shall be used by the Department of Environmental Protection as follows: (1) Fifty per cent shall be used for the purpose of funding the environmental review teams program of the Bureau of Water Management within said department, the Council on Soil and Water Conservation established pursuant to section 22a-315 and the eight county soil and water conservation districts; and (2) fifty per cent shall be deposited into the hazard mitigation and floodplain management account established pursuant to section 7 of this act and used for grants under section 9 of this act.

(b) Not later than three months following the close of each fiscal year starting with fiscal year July 1, 2000, the Department of Environmental Protection shall identify those municipalities that are not in compliance with subsection (a) of this section for the previous fiscal year and shall provide the Office of Policy and Management with a list of such municipalities. The list shall be submitted annually and in such manner as the Office of Policy and Management may require. The Office of Policy and Management, when issuing the first payment from the Mashantucket Pequot and Mohegan Fund established pursuant to section 3-55i, in the fiscal year during which said list is received, shall reduce said payment to a municipality by [five hundred] one thousand dollars for each quarter of the preceding fiscal year that the

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

- 196 municipality has not been in compliance with subsection (a) of this 197 section to a maximum of [two] four thousand dollars in each fiscal 198 year. The Office of Policy and Management shall certify to the State 199 Comptroller the amount of any funds withheld under this subsection 200 to be transferred to the Environmental Quality Fund for the uses set 201 forth in subsection (a) of this section, and the State Comptroller shall 202 cause said amount to be transferred to such fund.
- 203 Sec. 7. (NEW) (Effective July 1, 2003) There is established an account 204 to be known as the "hazard mitigation and floodplain management 205 account". The hazard mitigation and floodplain management account 206 shall be an account of the Environmental Quality Fund established 207 under section 22a-27g of the general statutes. Notwithstanding any 208 provision of the general statutes, any moneys required by law to be 209 deposited in the account shall be deposited in the Environmental 210 Quality Fund and credited to the hazard mitigation and floodplain 211 management account. Any balance remaining in the account at the end 212 of any fiscal year shall be carried forward in the account for the fiscal 213 year next succeeding. The account shall be available to the 214 Commissioner of Environmental Protection for the purposes of 215 sections 8 to 12, inclusive, of this act.
- 216 Sec. 8. (NEW) (Effective July 1, 2003) As used in sections 9 to 12, 217 inclusive, of this act:
 - (1) "Eligible applicant" means any municipality, regional planning agency organized under the provisions of chapter 127 of the general statutes, any regional council of elected officials organized under the provisions of chapter 50 of the general statutes, or any regional council of government organized under the provisions of sections 4-124i to 4-124p, inclusive, of the general statutes;
 - (2) "Hazard mitigation" means activities that include, but are not limited to, actions taken to reduce or eliminate long-term risk to human life, infrastructure and property resulting from natural hazards including, but not limited to, flooding, high winds and wildfires; and

219

220

221

222

223

224

225

226

(3) "Floodplain management" means activities that include, but are not limited to, actions taken to retain the existing capacity of designated floodplain areas to store and convey flood waters.

Sec. 9. (NEW) (Effective July 1, 2003) (a) The Commissioner of Environmental Protection shall establish and administer a hazard mitigation and floodplain management grant program to reimburse eligible applicants for costs incurred in the reduction or elimination of long-term risks to human life, infrastructure and property from natural hazards, including, but not limited to, flooding, high winds and wildfires, and in the retention of present capacity of designated floodplain areas to store and convey flood waters. Each grant shall be in an amount equal to ninety per cent of the costs to be incurred for such activities. Application for a grant shall be made in writing to the commissioner in such form as the commissioner may prescribe and shall include a description of the purpose, objectives and budget of the activities to be funded by the grant. If the applicant is a municipality, the chief executive officer of the municipality applying for the grant may designate the town planner, director of public works, police chief, fire chief or emergency management director as the agent to make the application.

(b) The Commissioner of Environmental Protection shall establish, by regulations adopted in accordance with chapter 54 of the general statutes, relative priorities for the approval of grants under this section. Such priorities may take into account the differing needs of eligible applicants, the need for consistency and equity in the distribution of grant awards and the extent to which particular projects may advance the purposes of this section. The commissioner shall accord highest priority to projects which involve (1) the preparation or revision of hazard mitigation plans by municipalities, or (2) participation in the community rating system of the National Flood Insurance Program. The commissioner shall accord secondary priority to projects which involve (A) the execution of hazard mitigation projects by municipalities in accordance with approved hazard mitigation plans;

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

- 261 or (B) administering and providing financial assistance for the hazard 262 mitigation and floodplain management grant program established 263 under this section. The commissioner may establish further criteria for 264 the approval of grants under this section. Not later than February 1, 265 2004, the commissioner shall develop and disseminate a pamphlet that 266 describes the evaluation process for grant applications under this 267 section. In awarding grants under this section, the commissioner shall 268 consult with any person the commissioner deems necessary.
 - (c) The commissioner shall authorize grant awards under this section on or before July thirty-first and December thirty-first of each fiscal year in which payment of a grant is to be made.
 - (d) The commissioner shall allocate not less than sixty per cent of the moneys in the hazard mitigation and floodplain management account in any fiscal year for grants under this section.
- Sec. 10. (NEW) (*Effective October 1, 2004*) (a) On and after July 1, 2004, the Commissioner of Environmental Protection shall make grants to municipalities under section 9 of this act, from funds in the hazard mitigation and floodplain management account, established under section 7 of this act.
 - (b) If the commissioner finds that any grant awarded pursuant to this section is being used for other purposes or to supplant a previous source of funds, the commissioner may require repayment.
 - Sec. 11. (NEW) (*Effective July 1, 2003*) (a) Recipients of grants under section 9 of this act shall submit a report to the Commissioner of Environmental Protection, in such form as the commissioner prescribes, not later than September first of the fiscal year following the fiscal year such grant was received. Such report shall contain a description of activities paid for with financial assistance under the grant. The chief executive officer of a municipality that receives a grant may designate the town planner, director of public works, police chief, fire chief or emergency management director of that municipality as

270

271

272

273

274

280

281

282

283

284

285

286

287

288

289

290

the agent to make such report.

(b) On or before January 1, 2006, and annually thereafter, the Commissioner of Environmental Protection shall prepare a report on grants made under section 9 of this act for the preceding fiscal year. Each such report shall include: (1) A description of the grants made, including the amount, purposes and the municipalities to which they were made; and (2) any findings or recommendations concerning the operation and effectiveness of the grant program.

Sec. 12. (NEW) (*Effective July 1, 2003*) The Commissioner of Environmental Protection shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, to implement the provisions of sections 8 to 11, inclusive, of this act.

This act shall take effect as follows:	
Section 1	October 1, 2003
Sec. 2	October 1, 2003
Sec. 3	October 1, 2003
Sec. 4	October 1, 2003
Sec. 5	October 1, 2003
Sec. 6	July 1, 2003
Sec. 7	July 1, 2003
Sec. 8	July 1, 2003
Sec. 9	July 1, 2003
Sec. 10	October 1, 2004
Sec. 11	July 1, 2003
Sec. 12	July 1, 2003

PD Joint Favorable C/R

ENV

LCO No. 3593